

INTERNATIONAL CONFERENCE OF LEGAL REGULATORS, 27-28 SEPTEMBER 2012
County Hall Park Plaza Hotel, Waterloo, London

Thursday 27 September		
8.30 – 9.00	Registration	
9.00 – 9.15	Welcome and Overview	Charles Plant, Chair of the SRA Board
9.15 – 10.15	<p>Regulating the Changing Legal Market</p> <p>This session will look at some common trends and themes shared across jurisdictions and at how regulators are addressing them.</p> <ul style="list-style-type: none"> - Understanding the market we regulate - Changing consumer and client needs - Trends in new forms of practice vs. declining areas - The growth of sophisticated and cross border practice - Reflecting societal change in the profession – the diversity question - Building the evidence base for regulation 	<p><u>Presenter:</u> John Flood, University of Westminster</p> <p><u>Session Moderator:</u> Chris Kenny, Legal Services Board</p> <p><u>Panel:</u></p> <p>Markus Hartung, Director, Bucerius Centre on the Legal Profession, Berlin</p> <p>Konstantinos Tomaras, Deputy Head of Unit, Free Movement of Professionals, European Commission</p> <p>Valerie Thean, Director, Legal Policy Division, Ministry of Law, Singapore</p> <p>Catherine Gale, President, Law Council of Australia</p>

10.15 – 11.15	<p>The Regulator’s Identity Crisis</p> <p>What is the role of the regulator? Are we a branch of law enforcement or consumer protection agencies? What is the right relationship with the profession and its representative side? Who do we serve and who do we answer to?</p>	<p><u>Presenter:</u> Professor Julia Black, LSE</p> <p><u>Session Moderator:</u> Robert Hawley, Deputy Executive Director, State Bar of California</p> <p><u>Panel:</u> Aldo Bulgarelli, Second Vice President, CCBE</p> <p>Steve Brooker, Manager, Legal Services Consumer Panel</p> <p>Chief Justice Michael G.Heavican, Nebraska, Vice President of the Conference of Chief Justices</p> <p>Richard Palmer, Chair of Professional Ethics and Guidance, Law Society of Northern Ireland</p>
11.15 – 11.45	<p>Coffee Break</p>	
11.45 – 13.15	<p>Proactive Regulation</p> <p>This session will look at how regulators can avoid purely reactive regulation, driven by complaints and problems after they emerge.</p> <ul style="list-style-type: none"> - The proactive regulator’s toolbox - How can success be measured? (e.g. fewer complaints or disciplinary actions?) - How actively do we monitor those we have licensed and how do we focus our efforts? - What can we learn from other sectors/professions? 	<p><u>Presenter:</u> Samantha Barrass, Executive Director, SRA</p> <p><u>Session Moderator:</u> Christine Grice, Executive Director, New Zealand Law Society</p> <p><u>Panel:</u> Motlatsi Molefe, Chief Executive, South African Fidelity Fund</p> <p>Zeynep Onen, Director Professional Regulation, Law Society of Upper Canada</p> <p>Steve Mark, Legal Services Commissioner, New South Wales</p>

13.15 – 14.30	Lunch	
14.30 – 16.00	<p>Competence on admission</p> <p>The lawyers we admit may have passed our admission requirements but what else do we need to know? The questions we will consider at this session include:</p> <ul style="list-style-type: none"> - Individual practitioners and law firms – what do we need to know? - Character/suitability and fitness to own tests – do we know what we’re looking for and how to find it? - Linking entry to competence - Foreign lawyer authorisation - Financial competence 	<p><u>Presenter:</u> Andy Friedman, Director, Professional Associations Research Network</p> <p><u>Session Moderator:</u> Lorna Jack, Law Society of Scotland</p> <p><u>Panel:</u></p> <p>Franklin Harrison, Chair, National Conference of Bar Examiners</p> <p>Vanessa Davies, Director, Bar Standards Board, England and Wales</p> <p>TP Kennedy, Director of Education, Law Society of Ireland</p> <p>Joe Catanzariti, President Elect, Law Council of Australia</p>
16.00 – 16.30	Tea Break	

<p>16.30 -18.00</p>	<p>Parallel workshops: The Challenge of Raising Professional Standards</p> <p><u>A. Tools for setting and monitoring standards</u> – The experience of regulatory bodies in using benchmarking surveys and risk profiling to raise standards.</p> <p><u>B. Individuals</u> – What tools are available to regulators to raise the standards of individual practitioners and how can they be used e.g. Ethics Training, relicensing (GMC), How can we make CPD/CLE more meaningful?</p>	<p><u>Workshop moderators/commentators:</u></p> <p>A. <u>Workshop Chair:</u> Victoria Rees, Director Professional Responsibility, Nova Scotia Barristers Society</p> <p><u>Contributors:</u> Andrew Garbutt, Director of Risk, SRA</p> <p>Richard Moorhead, Chair in Law and Professional Ethics, University College London</p> <p>Tahlia Gordon, Research and Projects Manager, Office of the Legal Services Commissioner, New South Wales</p> <p>B. <u>Workshop Chair:</u> Ambrose Lam, Hong Kong Law Society</p> <p><u>Contributors:</u> Anna Rowland, Director of Regulatory Policy, General Medical Council</p> <p>Kris Dangerfield, Senior General Counsel, Law Society of Manitoba.</p> <p>Anne-Birgitte Gammeljord, Danish Bar and Law Society</p>
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<p>19.00 – 22.00</p>	<p>Conference Reception and Dinner at the House of Lords Guest Speaker: The Rt Hon Lord Hunt of Wirral MBE</p>	

Friday 28 September		
9.00 – 10.30	<p>When things go wrong</p> <p>This session will focus on the challenges for regulators when things go wrong. It will look both at how regulators can spot problem signs at an early stage and take steps to protect clients and, if necessary, organise an orderly wind down of business.</p> <ul style="list-style-type: none"> - Protecting the profession from targeting by criminals - Identifying and weeding out the bad apples - Organising interventions and closing down practices - How to respond to law firm collapse and bankruptcy? - Co-operation with other authorities/jurisdictions 	<p><u>Presenter:</u> Jayne Kim, Chief Prosecutor, State Bar of California</p> <p><u>Session Moderator:</u> Professor Joan Loughrey, University of Leeds</p> <p><u>Panel:</u> Edward Mapara, CEO, Law Society of Zimbabwe</p> <p>Tony Williams, Jomati and former managing partner of Andersen Legal</p> <p>Stephen Hung, Vice President, Hong Kong Law Society</p> <p>Sandra Neilson, Marsh Insurance Brokers</p>
10.30 – 11.00	Coffee Break	
11.00 -12.30	<p>Non-Lawyer involvement in the delivery of legal services</p> <ul style="list-style-type: none"> - Ethical considerations when non-lawyers are involved in legal services. - How to co-regulate with other regulatory or professional bodies? 	<p><u>Session Moderator:</u> Jonathan Herman, CEO, Federation of Law Societies of Canada</p> <p><u>Panel:</u> Antony Townsend, CEO, SRA</p> <p>Steve Mark, Legal Services Commissioner, New South Wales</p> <p>Gene Shipp, Chief Regulatory Counsel, DC Bar</p>

12.30 – 14.00	Lunch	
14.00 – 15.30	<p>Workshops: Scope for Regulatory Collaboration</p> <p>A. <u>What can we tell each other?:</u> How to improve regulatory Information Sharing</p> <ul style="list-style-type: none"> • On standards for admission • When crisis management is needed (e.g. Dewey) • Disciplinary information <p>B. <u>Hot topics:</u> What are the emerging trends and issues that worry regulators in different jurisdictions? e.g Outsourcing and cloud computing? Substance abuse? Money laundering</p>	<p>A. <u>Workshop Chair:</u> Ellyn Rosen, Centre for Professional Responsibility, ABA</p> <p><u>Contributors:</u> Frederica Wilson, Senior Director, Regulatory and Public Affairs, Federation of Law Societies of Canada</p> <p>Philip Yelland, Director of Regulation, Law Society of Scotland</p> <p>Omar Yaqub, City Supervision team, SRA</p> <p>B. <u>Workshop Chair:</u> John Elliot, Director of Regulation, Law Society of Ireland</p> <p><u>Contributors:</u></p> <p>Seth Berman, Executive Managing Director, Stroz Friedberg LLC</p> <p>Joe Catanzariti, Vice President of the Law Council of Australia</p> <p>John Hunter QC, President of the Federation of Law Societies of Canada</p>

	<p>C. <u>Other Influences on Regulatory Policy and Practice:</u> Regulators are not working in a vacuum; there is a wider stakeholder community that has expectations of what regulation should deliver. There are also those who influence the behaviour of the market, often more immediately than those who are actually making regulatory policy. This session will consider how regulators can take account of stakeholder views without undermining the independence of regulation.</p>	<p>C. <u>Workshop Chair:</u> Lucy Scott-Moncrieff, President, Law Society of England and Wales</p> <p><u>Contributors:</u></p> <p>Alasdair Douglas, Chairman, City of London Law Society</p> <p>Tim Harty, Vice President, Thomson Reuters, UK and Ireland Legal</p> <p>Nina Barakzai, Cognizant Technology Solutions, Director of Privacy and Chair of the Commerce and Industry Group</p>
15.30 – 16.00	Tea Break	
16.00 – 17.30	<p>Closing session – Where do we go from here?</p> <ul style="list-style-type: none"> • The need for cross-jurisdictional regulatory cooperation • Models from other sectors • A proposition for the legal sector 	<p><u>Chair:</u> Antony Townsend, Chief Executive, Solicitors Regulation Authority</p> <p><u>Presenter:</u> Professor Laurel Terry</p>
19.00 – 22.00	Informal Thames River Cruise	